

# The Unitary Patent in the EPO's datasets and API's



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5413 Patent Knowledge Management

04 October 2022

# Unitary Patent Guide:

- V. No additional patent documents for Unitary Patents
- Please note that no additional documents beyond the European patent specification published by the EPO under the EPC will be published for a Unitary Patent. Unitary Patent proprietors will be sent a certificate once unitary effect has been registered. Moreover, Unitary Patents will be assigned a specific identifier (the identifier "CO") in the Register for unitary patent protection, the European Patent Bulletin and in the data sets provided by the EPO, making it easier to identify them through the EPO's patent information products and services, e.g. Espacenet and Global Patent Index.

https://documents.epo.org/projects/babylon/eponet.nsf/0/95FD68FCD001D0D7C1258832004EF95E/\$File/unitary\_patent\_quide\_en.pdf

# Bulk data and API's at the EPO that will offer UPP

### Bulk data sets



The EPO's bulk data sets are bulk extractions from EPO-internal patent databases made available to external users for further processing. They are structured and standardised collections of data.

Here's an overview of the bulk data sets we offer:

- > EP bibliographic data (EBD)
- European Patent Register data
- > EP full-text data
- > EP full-text data for text analytics
- EPO worldwide bibliographic data (DOCDB)

  EPO worldwide legal event data (INPADOC)
- > Sequence listings
- > National full-text data

### Open Patent Services (OPS)

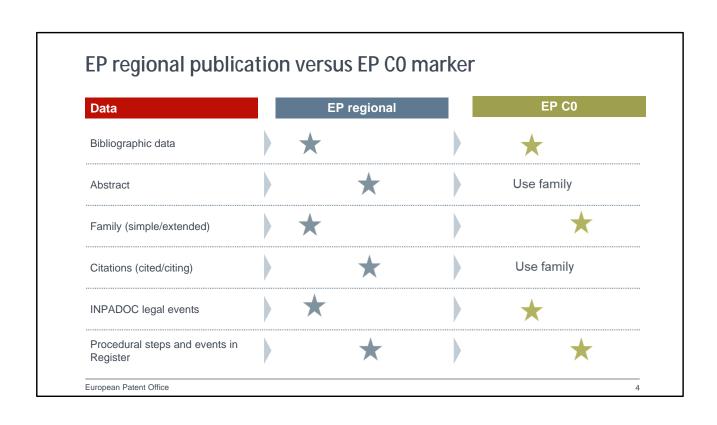


OPS data is extracted from the EPO's bibliographic, worldwide legal event, full-text and image databases and is therefore from the same sources as the Espacenet and European Patent Register data.

### With OPS you can:

- ✓ add EPO data to your own software applications or in-house databases
- ✓ develop tailor-made clients
- ✓ download large volumes of dat

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Data		EP regional	EP C0
Full text – character-coded		*	Use family
Full text - Images		*	Use family
Thumbnail - Images	>	*	Use family
First page clippings	<u> </u>	*	Use family
Search option OPS Register	<u> </u>	*	Search by "ufd" and "urd" of application no search
Search option OPS Published		<b>+</b>	<b>\</b>

# **EP bibliographic data (**EBD) in a bulk and Unitary Patent Protection (UPP)

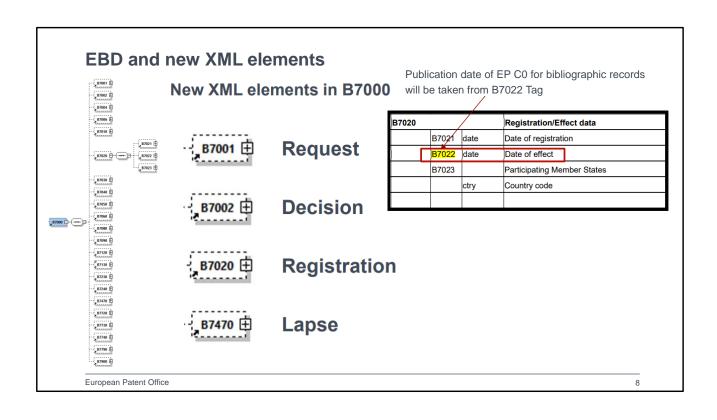


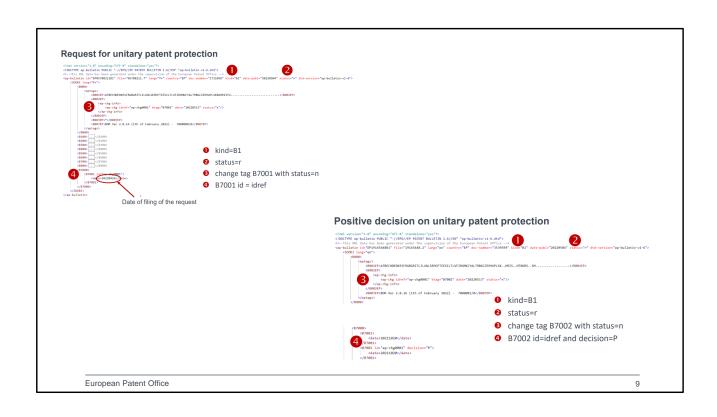
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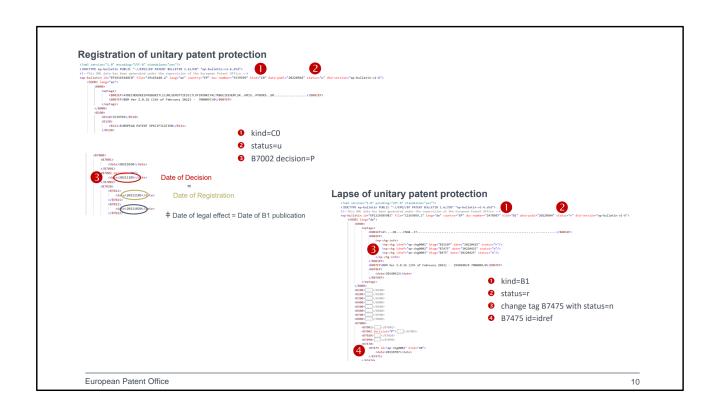
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# 14.1 EP bibliographic data (EBD) in a bulk

- § EBD provides details of all newly published applications and patents, as well as recent changes to previously published patents also available in European Patent Bulletin.
- § EBD includes the data listed in Rule 143 EPC
- § EBD weekly files in XML ST36 do not give the whole history and status of an EPO application or patent but you can partly combine EBD files with our European Patent Register data backfile.







# **EBD** and **UPP** Unitary Patent (UP) sample packages See README file in each package for more details. We will be adding packages from all bulk data products as we receive them. For any questions contact support@epo.org **Deliveries** EP\_UP sample package Last item added: 13.06.2022 15:01 File size 🗘 File name 🗘 Published at 💲 Action EP\_UP-package-V3.zip 17.06.2022 10:20 With EBD specification v 4.3 $\underline{\text{https://publication-bdds.apps.epo.org/raw-data/products/public/product/28}}$ European Patent Office 11

• EP Register in a bulk (ST36) and Unitary Patent Protection (UPP)

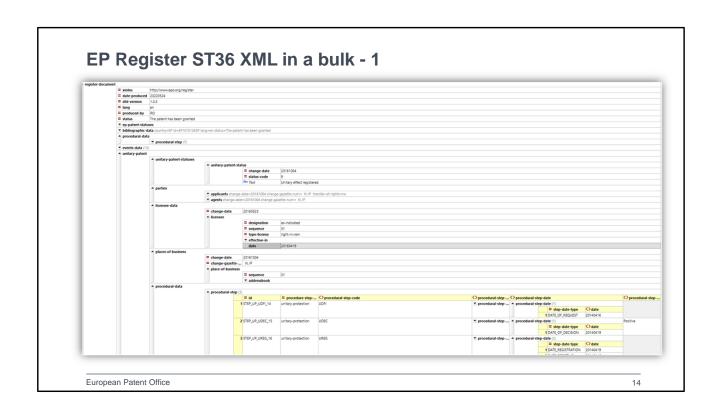


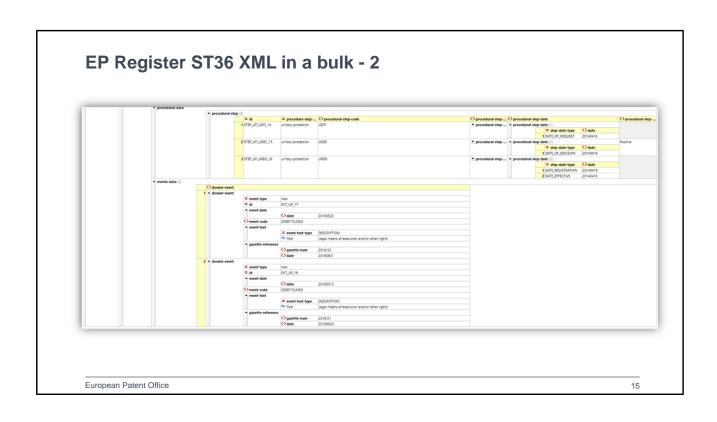
 $\underline{\text{https://www.epo.org/searching-for-patents/data/bulk-data-sets/register-data.html}}$ 

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# 14.1.1 EP Register in a bulk

- § The European Patent Register data bi-yearly extraction provides complete historical data for an EPO patent application at any given time.
- § The European Register data is a snapshot of the database on the date specified, and includes not only the information listed in Rule 143 EPC but also additional data such as legal status, attorney details, etc.





• EP full text in XML dataset and Unitary Patent Protection (UPP) bibliographic data



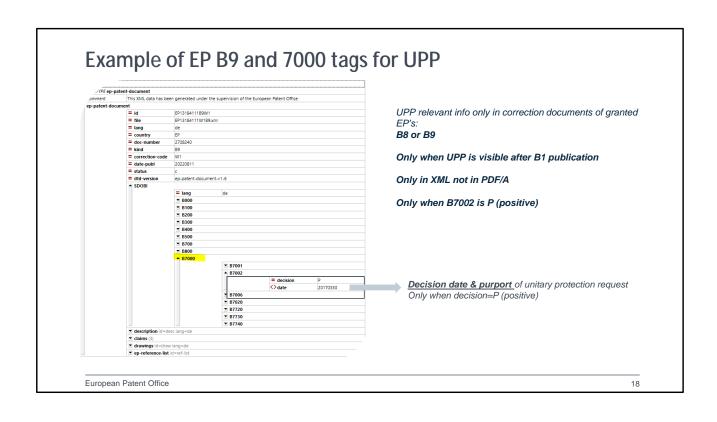
https://www.epo.org/searching-for-patents/data/bulk-data-sets/data.html

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# EP full text data ST36 XML and PDF/A in a bulk

- § A bulk data collection including the full text in machine-readable format of all patent applications(**EP A's**) and granted patent specifications (**EP B's**) published by the EPO since it was set up in 1978.
- § Also included EP A4's and correction documents (A8, A9 and B8 and B9)
- § European patent applications (EP-A documents) filed via the PCT route and published by WIPO in one of the three official languages (Rule 153/3) of the EPO are not republished by the EPO. For these cases, only minimum information is available (EP publication, corresponding PCT application number, etc.)
- § 3TB backfile (PDF/A, TIFF and XML)
- § Weekly front file every Wednesday 14:00 CET (via download area)/free of charge

UPP relevant info only in correction documents of granted EP's - **B8 or B9 – when UPP is visible after B1 publication and only in XML not in PDF/A** 



• DOCDB in XML dataset and Unitary Patent Protection (UPP) bibliographic data

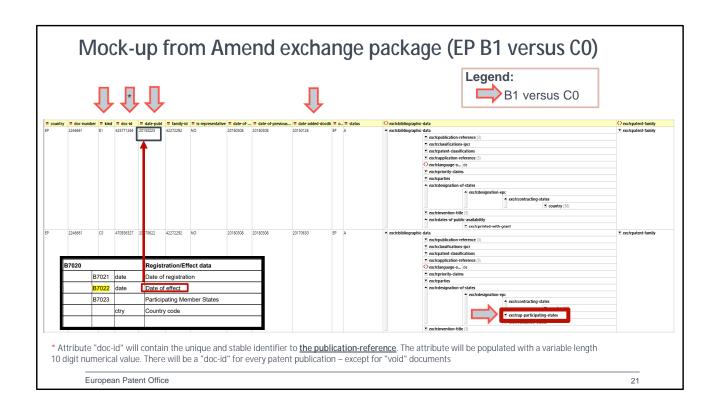


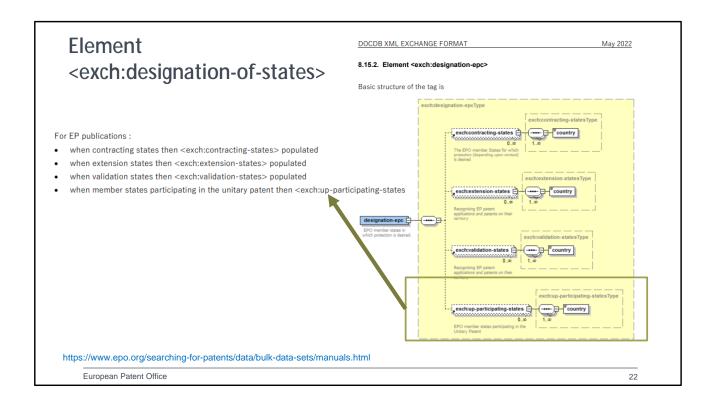
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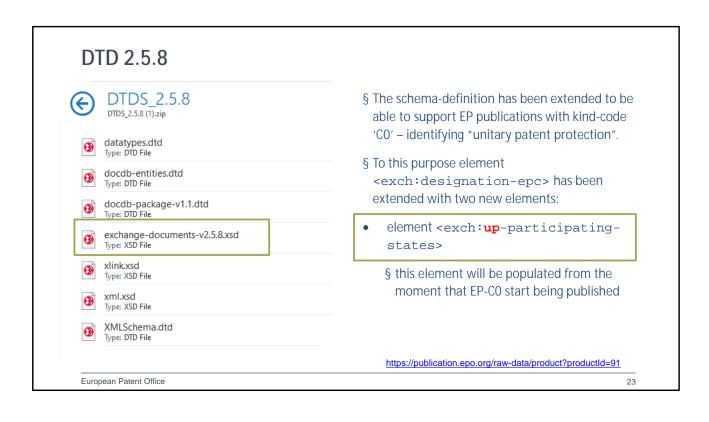
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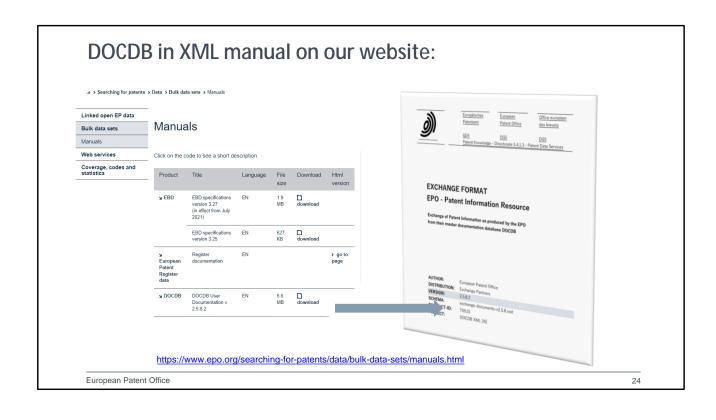
# 14.7 DOCDB in XML

- § DOCDB data is the backbone of many commercial products and services.
- § It includes bibliographic data (names, numbers, classifications, titles, abstracts but also citations simple families and more) from over 100 countries worldwide including EP data.
- § The data goes back as far as the 1830s for some patent authorities, and is available as backfile and frontfile.
- § At present, you can find over 140 million biblio records in the DOCDB in XML.
- § DOCDB has historical backfile and 2 weekly deliveries frontfiles









 Open Patent Services (OPS) API and Unitary Patent Protection (UPP)

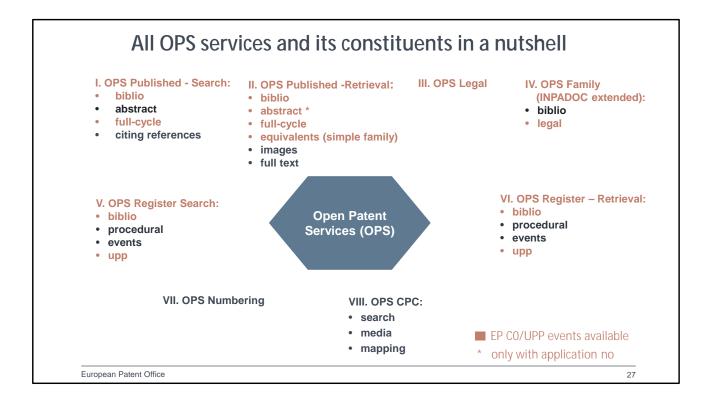


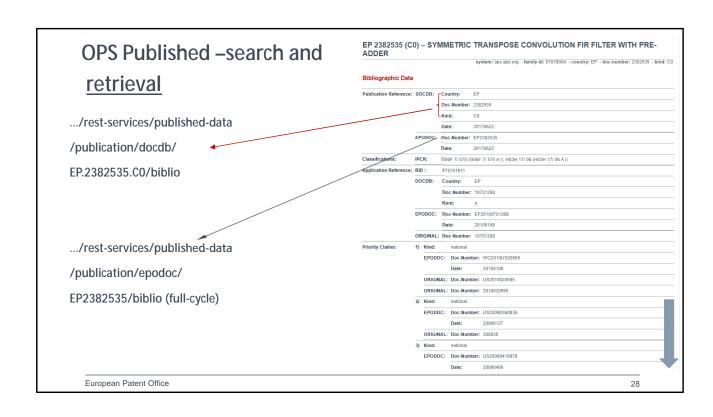
https://www.epo.org/searching-for-patents/data/web-services/ops.html

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# Open Patent Services (OPS) RESTful webservices (API's)

- § Open Patent Services (OPS) is a web service which provides access to the EPO's data via a standardised XML interface. It does this using RESTful architecture.
- § There are 8 different services available in OPS
- § OPS data is extracted from the EPO's bibliographic, worldwide legal event, full-text and image databases and is therefore from the same sources as the Espacenet and European Patent Register data.
- § OPS is available upon registration, but offer free of charge access as well as yearly paying subscription option





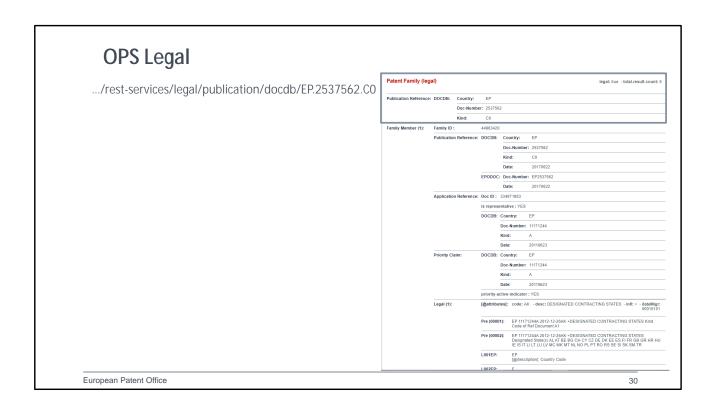
# OPS Published – retrieval with <u>equivalents</u> (simple DOCDB family) constituent

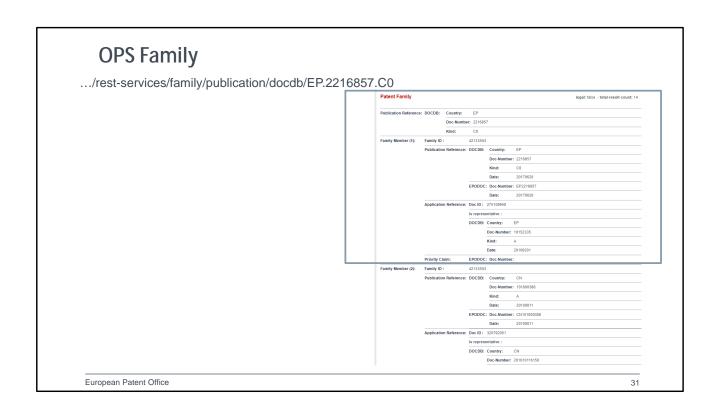
.../rest-services/published-data/publication/docdb/EP.2382535.C0/equivalents



.../epodoc/EP2382535/equivalents would produce same results

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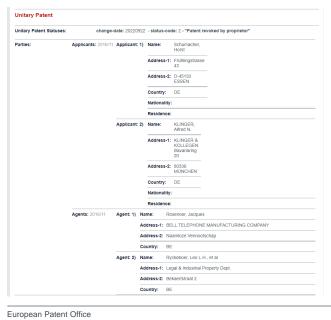
# V. OPS Register Search:

- biblio
- procedural
- events
- upp

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## OPS Register with UPP - search option with URD and UF .../3.2/rest-services/register/search/upp/?q=urd=yes\* Register search results total-result-count: 138 \*Query options for UFD and URD: [@attributes] : syntax: CQL =true =false begin: 1 - end: 25 Range: Unitary effect registration dates (urd) =no =ccyymmdd (or ccyymm, ccyy, ccyymmdd:ccyymmdd) .../3.2/rest-services/register/search/upp/?q=ufd=yes\* total-result-count: 222 Register search results [@attributes] : syntax: CQL Range: begin: 1 - end: 25 Unitary effect request (ufd) European Patent Office 33

# UPP - Status and Biblio - search result



# UPP - Procedural Data - search result

Pro Pro	[@attributes]:	id: STEP_UP_UDFI_1086 - procedure-step-phase: unitary-protection  : UDFI		
	Procedural Step Code:			
	Procedural Step Text:	The request for unitary effects (type: STEP_DESCRIPTION) 20161010 (type: DATE_OF_REQUEST)  Gazette Num: 2016/10  Date:		
	Procedural Step Date:			
	Gazette Reference:			
Pr Pr Pr	[@attributes]:	id: STEP_UP_UDEC_1067 - procedure-step-phase: unitary-protection		
	Procedural Step Code:	UDEC		
	Procedural Step Text:	Date and purport of the decision on the registration of unitary effect (type: STEP_DESCRIPTION)		
	Procedural Step Date:	20161020 (type: DATE_OF_DECISION)		
	Procedural Step Result: Positive			
	Gazette Reference:	Gazette Num: 2016/11 Date:		
Procedural Step:	[@attributes]:	id: STEP_UP_UREG_1068 - procedure-step-phase: unitary-protection		
	Procedural Step Code:	UREG		
	Procedural Step Text:	Date of registration of Unitary effect (type: STEP_DESCRIPTION)		
	Procedural Step Text:	AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LI, LU, NL, PT, SE (type: UNITARY_STATES)		
	Procedural Step Date:	20161020 (type: DATE_REGISTRATION)		
	Procedural Step Date:	20161020 (type: DATE_EFFECTIVE)		
	Gazette Reference:	Gazette Num: 2016/12		
		Date:		
Procedural Step:	[@attributes]:	id: STEP_UP_RFEE_1069 - procedure-step-phase: unitary-protection		
	Procedural Step Code:	RFEE		

# UPP - Events Data - search result

EVT_UP_1075 (new):	Event Date:	20161003		
	Event Code:	0009706REES22		
	Event Text:	Request for re-	establishment of rights filed (DESCRIPTION)	
	Gazette Reference:	Gazette Num: 2016/30		
		Date:	20160913	
EVT_UP_1076 (new):	Event Date:	20160923		
	Event Code:	0009703RFEE22		
	Event Text:	New entry: Payment of renewal fee (DESCRIPTION)		
	Gazette Reference:	Gazette Num:	2016/25	
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EVT_UP_1077 (new):	Event Date:	20160918		
	Event Code:	0009704UDLA02		
	Event Text:	Renewal fees not paid: Unitary patent protection lapsed (DESCRIPTION)		
	Gazette Reference:	Gazette Num: 2016/20		
		Date:	20160913	
EVT_UP_1078 (new):	Event Date:	20160913		
	Event Code:	0009704UDLA02		
	Event Text:	Renewal fees not paid: Unitary patent protection lapsed (DESCRIPTION)		
	Gazette Reference:	Gazette Num:	2017/32	
		Date:	20160913	
EVT_UP_1079 (new):	Event Date:	20160913		
	Event Code:	0009703RFEE22		
	Event Text:	New entry: Payment of renewal fee (DESCRIPTION)		
	Gazette Reference:	Gazette Num: 2017/33		

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# OPS Register with UPP – keywords search option (CQL) total-result-count: 1 Register search results Query: title=driving device and ufd=yes Range: date-produced: 20220926 - dtd-version: 1.3.3 - lang: en - produced-by: RO - status: No opposition filed within time limit

country: EP - id: EP12162199P - lang: en - status: No opposition filed within time limit

EP Patent Statuses:

Bibliographic Data Publication Reference:

No opposition filed within time limit

2012/27 **Country:** EP Kind:

Date:

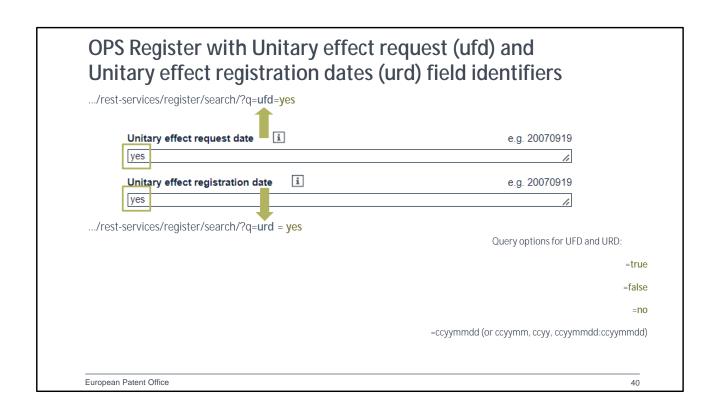
Driving device for motor vehicles

20120704 Doc-Number: 2471681 IPCR: 2012/27 ID: CLASSIFICATION\_191 Classifications:

# OPS Register with UPP - keywords search option (CQL) - UPP event **Unitary Patent** Unitary Patent Statuses: change-date: 20220919 - status-code: 6 - "Request for unitary effect filed" Procedural Data Procedural Step: [@attributes]: id: STEP\_UP\_UDFI\_1678 - procedure-step-phase: unitary-protection Procedural Step Code: UDFI Procedural Step Text: The request for unitary effects (type: STEP\_DESCRIPTION) Procedural Step Date: 20220815 (type: DATE\_OF\_REQUEST) Gazette Reference: Gazette Num: 2022/37 Events Data EVT\_UP\_1679 (new): Event Date: 0009700UREQ01 Event Code: Filing of request for unitary effect (DESCRIPTION) Gazette Reference: Gazette Num: 2022/37 Date: 20220914 EVT\_UP\_1680 (new): Event Date: 20220919 Event Code: EPIDOSNURQ1UP Event Text: not specified (DESCRIPTION) European Patent Office

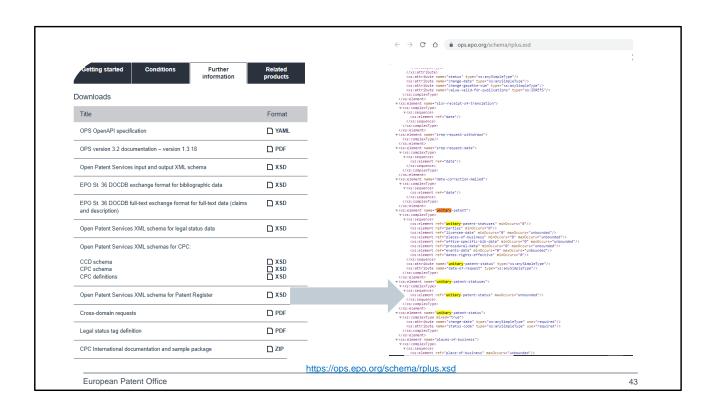
# VI. OPS Register – Retrieval: • biblio • procedural • events • upp

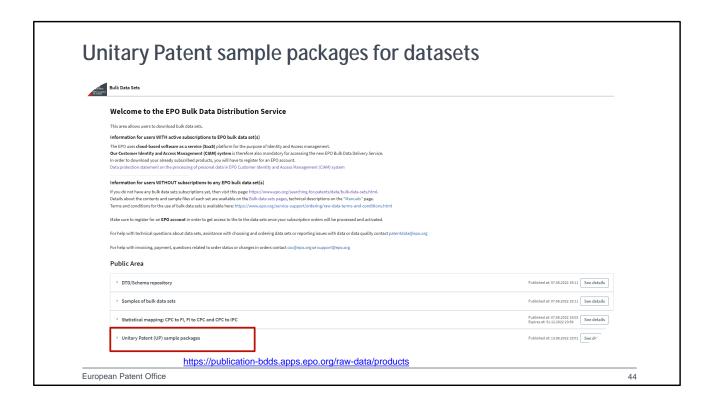
European Patent Office



# OPS Register UPP - retrieval option /3.2/rest-services/register/publication/epodoc/EP2471681/upp OPS services / Register service Type Format Number publication v / epodoc v / EP2471681 / upp v Construct European Patent Office







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