

INPADOC worldwide legal status database

Background, coverage, richness and safe use of INPADOC





Detailed content overview

00.	Introduction
01.	What is a legal event? What is Legal Status?
02.	Inpadoc worldwide legal event database: background
03.	Inpadoc worldwide legal event database: coverage
04.	Inpadoc in Espacenet: Example Aerogen
05.	Inpadoc legal event codes and categories
06.	References and Questions
07.	Conclusions and contact

Introduction

Patent Data Services Team:



Detailed content overview

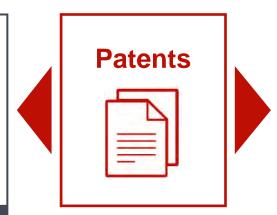
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The basic principle of the patent system

Benefit the public

by making the detailed description of the invention available to everyone

18 months after filing



Benefit the owner

by preventing third parties from exploiting the invention for commercial purposes without authorisation

Valid for max. 20 years

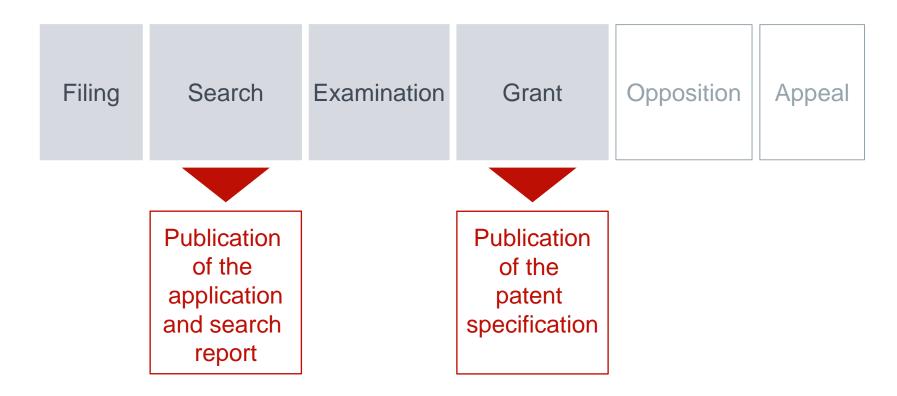
Publication

- Patent applications are published on Espacenet, 18 months after the date of filing (or earliest priority date)
- The search report is also published
- Third parties can submit observations at any time prior to grant



Over 100 million patent documents are accessible to the public on epo.org/espacenet

Basic steps in the European grant procedure



What is legal event data? What is legal status data?

Legal event data is data on any procedural step during the grant procedure as wells as in the post-grant stage. Examples include "application filed", "office action send", "change of ownership", and "maintenance fee paid.

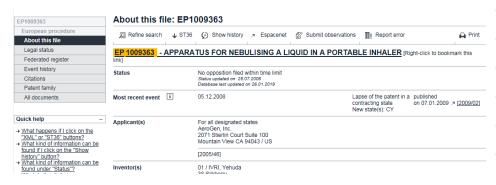
Legal status data is data that includes a statement on the status, or state, of an application or IP right.

Examples include "application is active" and "patent terminated".

European grant procedure: legal events

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European grant procedure: legal status



- International application published
- Application published
- Examination requested
- Grant of patent intended
- Application refused
- Application deemed to be withdrawn
- Patent granted (this does not exclude the possibility of an ongoing opposition or appeal procedure)
- Opposition procedure running
- No opposition filed within time limit
- Opposition procedure closed
- Opposition rejected
- Patent revoked/limited
- Patent maintained in amended form

Why do I need legal event and legal status data?

- Freedom to operate (FTO): Am I infringing someone's IP rights?
- Business opportunities: Generic drugs as a business opportunity
- Find out: Who are my competitors?
- Strategic decisions: identifying possible licensing partners (maybe former opponents); Can I still oppose a competitor's patent?
- Competitors watch: Closely monitor competitor's applications, check who dominates certain markets. In which technical areas is your competitor active?

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INPADOC - history

The INPADOC legal event database is an incremental database



Some history

In 1978 the first patent register service of INPADOC was designed

In 1991 INPADOC integrated into EPO

In 1993 PRS contains legal events

from 16 authorities

In 2018 around 70 authorities



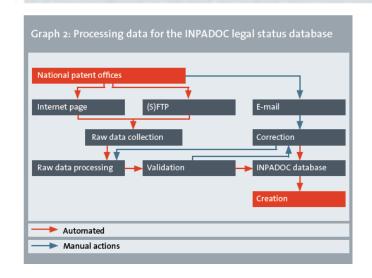
Figure 2: An example of an INPADOC legal status microfilm

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INPADOC – 40 years ahead of time

"Reliable, comprehensive and up-to-date legal status data is a prerequisite for investment decisions, and INPADOC provides much of the essential data, excellently supervised by its team in Vienna. For the user community, INPADOC is a treasure."

Peter Kallas, BASF SE, Chairman of the PDG Working Group IMPACT





Some sources of legal events

Patent gazette: the latest news



Patent Register: all the updates



European Patent Office

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Detailed content overview

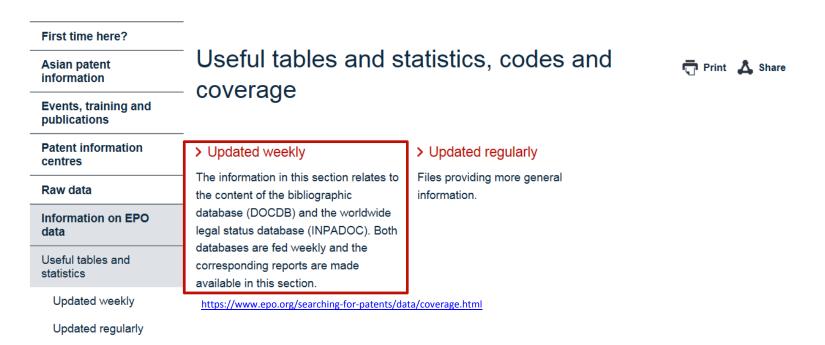
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2018 autumn maps legal status coverage



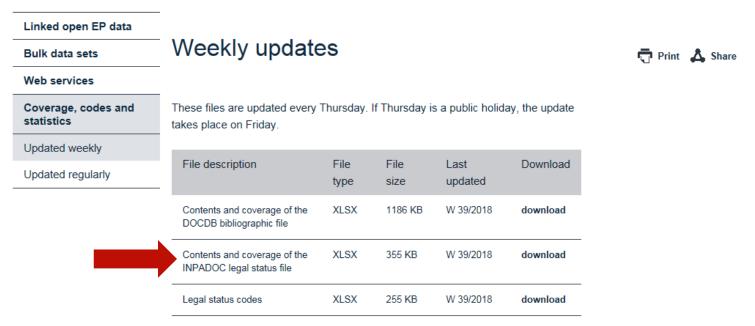


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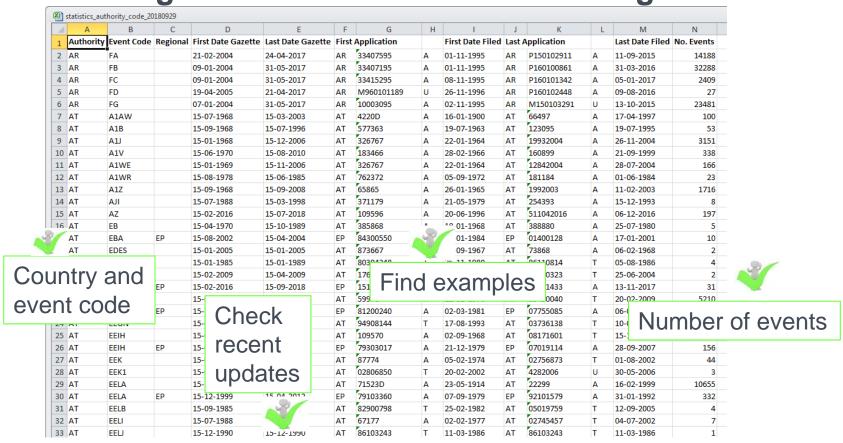


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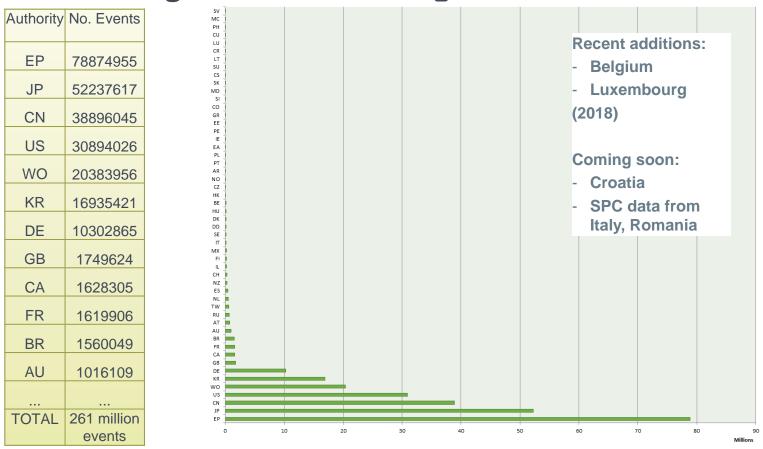


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INPADOC legal status: contents and coverage



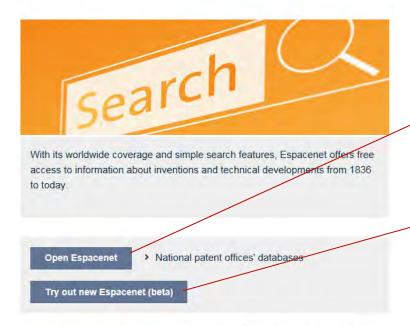
INPADOC legal events coverage



Detailed content overview

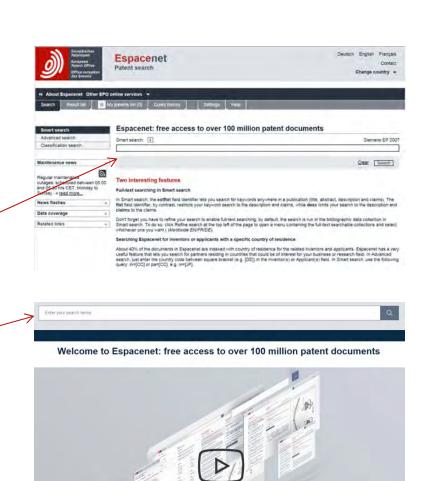
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04.05.	Inpadoc in Espacenet: Example Aerogen Inpadoc legal event codes and categories
05.	Inpadoc legal event codes and categories

Espacenet patent search



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https://worldwide.espacenet.com/



Refine search → Results → EP1009363 (A2) EP1009363 (A2) Bibliographic data Description Claims Mosaics Original document Cited documents Citing documents INPADOC legal status INPADOC patent family Quick help

→ What is meant by high quality text as facsimile?

- → What does A1, A2, A3 and B stand for after a European publication number?
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- → Why do I sometimes find the abstract of a corresponding document?
- → What happens if I click on the red "patent translate" button?
- → What is Global Dossier?

Bibliographic data: EP1009363 (A2) — 2000-06-21

APPARATUS FOR NEBULISING A LIQUID IN A PORTABLE INHALER

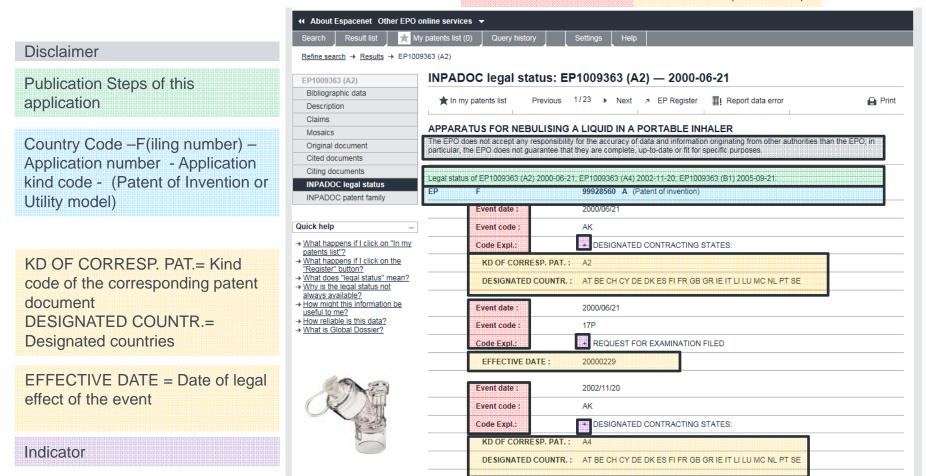
Page bookmark	EP1009363 (A2) - APPARATUS FOR NEBULISING A LIQUID IN A PORTABLE INHALER					
Inventor(s):	IVRI YEHUDA [US]; DEYOUNG LINDAR [US]; WU CHENG H [US]; CATER MIRO S [US]; KUMAR VIJAY [US]; FLIERL MARKUS [US] ±					
Applicant(s):	AEROGEN INC [US] ±					
Classification:	- international: A61M11/00; A61M15/00; A61M5/30; B05B11/00; B05B12/08; B05B17/06; B05B9/04; B41J2/025; C25D1/08; A61M16/00; (IPC1-7): A61K6/00					
	- cooperative: A61M15/0028; A61M15/0065; A61M15/0085; A61M15/025; B05B11/309; B05B11/3094; B05B12/08; B05B17/0646; B05B17/0684; B41J2/025; C25D1/08; A61M2016/0021; A61M2016/0039; A61M2205/0233; A61M2205/3306; A61M2205/8206; B05B11/00416					
Application number:	EP 19990928560 19990610					
Priority number(s):	WO1999US13148 19990610 ; US19980095737 19980611 ; US19980149426 19980908 ; US19990313914 19990518					
Also published as:	<u>□ EP1009363 (A4)</u> <u>□ EP1009363 (B1)</u> → AT304833 (T) → AT451905 (T) → AU4560399 (A) → more					

Abstract not available for EP1009363 (A2) Abstract of corresponding document: WO9963946 (A2)



The invention provides exemplary aerosolization apparatus and methods for aerosolizing a substance. According to one exemplary method, a liquid is transferred from a first chamber into a second chamber having a substance that is in a dry state to form a solution. The solution is then transferred from the second chamber and onto an atomization member. The atomization member is operated to aerosolize the solution.

https://worldwide.espacenet.com/publicationDetails/inpadoc?CC=EP&NR=1009363A2&KC=A2&FT=D&ND=3&date=20000621&DB=&locale=en EP#



Postgrant EP events

Event date: 2008/07/31

Event code: PG25 LU

Code Expl.: - LAPSED IN A CONTRACTING STATE ANNOUNCED VIA POSTGRANT INFORM. FROM NAT. OFFICE TO EPO

EFFECTIVE DATE: 20060610

FURTHER INFORMATION: LAPSE BECAUSE OF NON-PAYMENT OF DUE FEES

Further information for PG25: Reasons for lapse, other possibilities are

- LAPSE BECAUSE OF NON-PAYMENT OF DUE FEES
- LAPSE BECAUSE OF EXPIRATION OF PROTECTION
- LAPSE BECAUSE OF THE APPLICANT RENOUNCES
- FAILURE TO ELECT DOMICILE IN THE NATIONAL COUNTRY
- THE PATENT HAS BEEN ANNULLED BY A DECISION OF A NATIONAL AUTHORITY

Event date: 2017/07/31

Event code: PGFP GB

Code Expl.: + POSTGRANT: ANNUAL FEES PAID TO NATIONAL OFFICE

PAYMENT DATE: 20170607

PAYMENT YEAR: 19

In case of fee payment the payment date and the payment year are included;

EP EP REG(ional) events



EVENT CODE = REG GB FG4D

REG(ional) Code: REG is the abbreviation of regional and refers

in this case to an EP patent in the national phase

GB FG4D: the national country code and legal code



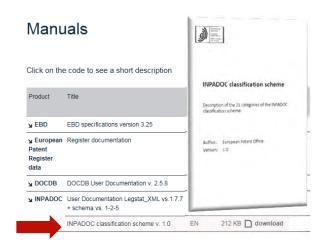
Legal status codes: 21 categories

Categorising INPADOC legal event codes in use since 1997

Intellectual effort: Treatment of batches based on multiple control principle and rules laid down in reference document

Continuously updated

Modelled on WIPO Standard 27



Manual: https://www.epo.org/searching-for-patents/data/bulk-data-sets/manuals.html

List of 21 INPADOC event classes and descriptions

Class	Description	Class	Description
А	Application filing	Р	Re-publication of document after modification
В	Application discontinuation	Q	Document publication
С	Application revival	R	Party data change
D	Search and examination	S	Information on licensing and similar
E	Pre-grant review request	J	transactions
F	IP right grant	T	Administrative procedure adjustment
G	Protection beyond IP right term	U	Payment
Н	IP right cessation	V	Appeal
K	IP right revival	W	Other
	IP right review request	Υ	Correction and deletion of event information
M	IP right maintenance	Z	Classification pending

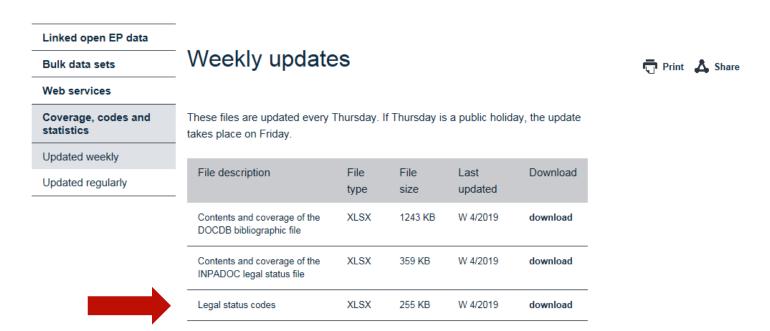
Bibliographic data	Description Claims	Drawings Original document Citations Legal status	Patent family			Details:
	Sorted					
Event indicator ^	Category ~	Event Description ^	Countries ^	Event date ^	Effective date ^	Details ^
EP PG25	H: IP right cessation	LAPSED IN A CONTRACTING STATE [ANNOUNCED FROM NATIONAL OFFICE TO EPO]	LU	2008-07-31		LAPSE BECAUSE OF NON-PAYMENT OF DUE FEES
EP PG25	H: IP right cessation	LAPSED IN A CONTRACTING STATE [ANNOUNCED FROM NATIONAL OFFICE TO EPO]	CY	2008-11-28		LAPSE BECAUSE OF FAILURE TO SUBMI A TRANSLATION OF THE DESCRIPTION OR TO PAY THE FEE WITHIN THE PRESCRIBED TIME-LIMIT
EP 26N	L: IP right review request	NO OPPOSITION FILED		2006-08-30	2006-06-22	
EP BECA	R: Party data change	BE: CHANGEMENT D'ADRESSE DE TITULAIRE DE BREVET		2005-09-30	2005-09-21	Party information Owner name: *AEROGEN INC 2071 STIERLIN COURT SUITE 100, MOUNTA
EP RAP2	R: Party data change	RIGHTS OF A PATENT TRANSFERRED		2005-11-16		Party information Owner name: AEROGEN, INC.
EP NLT2	R: Party data change	NL: (NAAMS)WIJZIGINGEN, DIE ZIJN OVERGENOMEN UIT HET EP OCTROOIBLAD		2006-01-02	2005-11-16	Party information Owner name: AEROGEN, INC.
EP BECA	R: Party data change	BE: CHANGEMENT D'ADRESSE DE TITULAIRE DE BREVET		2007-12-31	2005-09-21	
FR PLFP	U: Payment	DERNIERE ANNUITE PAYEE		2015-06-08		Fee payment Year: 17
FR PLFP	U: Payment	DERNIERE ANNUITE PAYEE		2016-05-16		
FR PLFP	U: Payment	DERNIERE ANNUITE PAYEE		2017-05-30		Fee payment Year: 19

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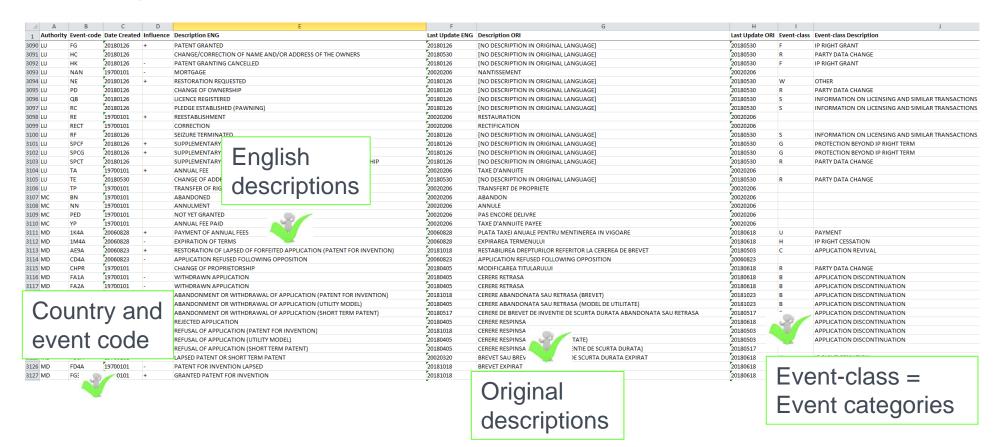


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Legal status codes: descriptions



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Publication day

The publication day for European patent applications and patent specifications (EP) is Wednesday, irrespective of whether this day is a public holiday or not.

> Updated weekly

The information in this section relates to Files providing more general the content of the bibliographic database (DOCDB) and the worldwide legal status database (INPADOC). Both databases are fed weekly and the corresponding reports are made available in this section.

> Updated regularly

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Manuals

Coverage, codes and statistics

Click on the code to see a short description

Product	Title	Language	File size	Download	Html version
⊻ EBD	EBD specifications version 3.25	EN	627 KB	download	
≥ European Patent Register data	Register documentation	EN			> go to page
™ DOCDB	DOCDB User Documentation v. 2.5.8	EN	4 MB	download	
™ INPADOC	User Documentation Legstat_XML vs.1.7.7 + schema vs. 1-2-5	EN	3.1 MB	download	
	INPADOC classification scheme v. 1.0	EN	212 KB	download	
⊌ OP\$	Open Patent Services documentation	EN			> go to page
¥ PATSTAT	PATSTAT documentation	EN			> go to page

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EP NATIONAL ENTRY SOURCES AT THE EPO (INCLUDING VALIDATION/EXTENSION INFORMATION)

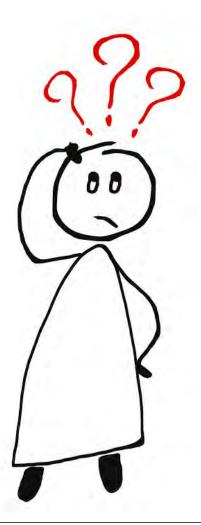
This table shows now informati			ic silons flow information	on on EP national entry is propagated in EPO databases and where/h Bibliographic information			Legal information				
-	Country code	EPC member state	EPC member since	London agreement	New document number for translation of entry to national phase publication	EPO's kind code for	Translation publication	Legal status events for national entry publication available in INPADOC	Post- grant Informati on available in EP Register	Federated register service available in EP Register	NEW: Date of exact EXPIRATION OF PROTECTION (20 years from filling: on, before or after)
1	AL	Albania	01 May 2010	yes	no	no	no	no	yes	no	no
2	AT	Austria	01 May 1979		yes	T1-T9	yes **	EP application	yes	yes 01.2016	anniversary of filling
3	BE	Belgium	07 October 1977		only EP/BE ****	T1, T2****	yes**** **	EP application	yes	yes 11.2017	anniversary of filling
4	BG	Bulgaria	01 July 2002		no	no	no	no	yes	yes 05.2017	anniversary of filling
5	СН	Switzerland	07 October 1977	Article 1(1)	EP	по	EP	EP application	yes	yes 02.2016	1 day <u>before</u> anniversa
6	CY	Cyprus	01 April 1998		yes	T1, T2	yes ***	no	yes	no	no
7	cz	Czech Republic	01 July 2002		no	no	no	no	yes	yes	anniversary of filling
8	DE	Germany	07 October 1977	Article 1(1)	EP	no	EP	EP application	yes	yes 08.2018	anniversary of filling
9	DK	Denmark	01 January 1990	yes	only EP/DK*	T1-T7	yes	EP application	yes	no	anniversary of filling
10	EE	Estonia	01 July 2002		yes	B1-B9	yes	EP application	yes	no	1 day <u>before</u> annivers
11	ES	Spain	01 October 1986		yes	T1-T9	yes	EP application	yes	yes 09.2016	anniversary of filling
12	FI	Finland	01 March 1996	yes	yes	T1-T7	no	EP application	yes	yes 03.2016	anniversary of filling
13	FR	France	07 October 1977	Article 1(1)	EP	no	EP	EP application	yes	no	1 day before annivers
14	GB	United Kingdom	07 October 1977	Article 1(1)	EP	no	EP	EP application	yes	yes 05.2018	1 day <u>before</u> annivers
15	GR	Greece	01 October 1986		yes **	T1-T7	yes**	EP application	yes	yes 03.2016	1 day <u>after</u> anniversa
16	HR	Croatia	01 January 2008	ves	yes	T1-T8	yes	no	yes	yes 06,2016	1 day before annivers

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Sources of information on PDF 16 KB W 36/2018 national phase entries of EP patents

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Questions



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Conclusions

- Check the coverage table of the database to learn about the countries and the events of the INPADOC legal status database
- Use the categories to interpret the events
- Include legal events in your searches; they might lead you to new or unexpected information

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