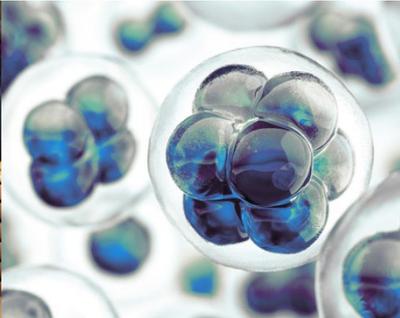




Europäisches  
Patentamt  
European  
Patent Office  
Office européen  
des brevets

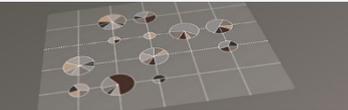
# Make the best out of Global Patent Index (GPI)



# Agenda – GPI 5 key benefits

- 1.** Run detailed searches in EPO's worldwide bibliographic, legal event and full text data collections
- 2.** Run preliminary data quality assessment (risk management)
- 3.** Run regular monitoring searches
- 4.** Work effectively when browsing search result sets
- 5.** Visualise/download search result sets (statistics)

# EPO's worldwide searchable data collections

	 Espacenet	 GPI	 PATSTAT
Bibliographic data	●	●	●
Legal events	●	●	●
Full text <sup>1</sup>	● ●	●	●
<i>Update frequency</i>	<i>daily</i>	<i>weekly</i>	<i>twice a year</i>

**1.** Current GPI full text coverage: **DE ES FR GB + EP WO** (in de en fr language) – next addition: **CH**

# Access to GPI at [epo.org/gpi](https://epo.org/gpi)



GPI is a powerful tool that enables you to perform detailed searches in the EPO's worldwide bibliographic, legal event and full-text data.

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Office européen des brevets

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English

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Database	Edition	
EP full-text search	EPAB 2021/14	<a href="#">info</a>
EP Bulletin search	BULL 2021/14	<a href="#">info</a>
Global Patent Index	GPI 2021/14	<a href="#">info</a>
PATSTAT Online	PATSTAT 2020 Autumn	<a href="#">info</a>
PATSTAT Online	PATSTAT 2020 Spring	<a href="#">info</a>

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**Criteria**

- ▼ EASY SEARCH
  - FIND** All data
  - DATES** All kinds of dates
  - NUM** All kinds of numbers
  - WORD** All titles, abstracts, descriptions and claims
  - FTXT** All descriptions and claims
  - TIAB** All titles and abstracts
  - NAME** All inventors and applicants
  - CLAS** All kinds of classifications
- ▶ SIMPLE SEARCH
- ▶ DETAILED SEARCH

**Index WORD** Go to

**Term**

- blockchain
- blockchain1
- blockchain10
- blockchain102
- blockchain110
- blockchain120
- blockchain120y
- blockchain128
- blockchain13

**Query**

(**WORD** = (public\* or private\* or secret\* or asymmetr\* or decrypt\* or encrypt\*) + asymetr\* or asymmetr\* or kryptograp chiffer\* or verschlüssel\* or decrypt\* or déchiff\* or entschlüssel\*) or (elliptic\* or

Search target: data encryption applied to blockchain technology

= < <= > >= [ ] ( ) " # ? \*

**History**

ID	Database	Result	Query	Parsed query
\$79	GPI 2021/14	757	DFE [202101, 202103] AND \$78	(DFE_FOR_RANGE[20210101,202...
\$78	GPI 2021/14	7 487	\$76 and \$77	(TIEN = (public* OR private* OR se...
\$77	GPI 2021/14	51 730	(WORD = blockchain* or block* +1w chain* or cha...	TIEN = blockchain* OR ABEN = blo...
\$76	GPI 2021/14	88 905	(WORD = (public* or private* or secret* or asymme...	TIEN = (public* OR private* OR sec...
\$75	GPI 2021/14	757	DFE [202101, 202103] AND \$73	(DFE_FOR_RANGE[20210101,202...
\$74	GPI 2021/14	29	\$73 andnot (IPC or CPC = *)	(TIEN = (public* OR private* OR se...
\$73	GPI 2021/14	7 487	\$71 and \$72	(TIEN = (public* OR private* OR se...
\$72	GPI 2021/14	51 730	(WORD = blockchain* or block* +1w chain* or cha...	TIEN = blockchain* OR ABEN = blo...
\$71	GPI 2021/14	88 905	(WORD = (public* or private* or secret* or asymme...	TIEN = (public* OR private* OR sec...
\$70	GPI 2021/14	7 487	(WORD = (public* or private* or secret* or asymme...	(TIEN = (public* OR private* OR se...
\$68	GPI 2021/14	13	appd=INVECTYS	APPD_WORD = inventcys OR APPD...
\$67	GPI 2021/14	59 976	\$59 andnot \$58 andnot puc=su de	(DLE = 20210408) AND (STA = c) A...

# Combine bibliographic data + full text

The screenshot shows a search interface with a query bar at the top. The query bar contains the text "12 505 doc. (7 487 families)" which is circled in red. Below the query bar, a dropdown menu is open, showing options: "No filter", "Application filter", and "Family filter". The main search area contains a complex query string: "(WORD = (public\* or private\* or secret\* or decrypt\* or encrypt\*) +2w key\* or (clé\* or clef\*) +2w (publique\* or privé\* or secrète\* or as chiffr\* or chiffr\*) or (öffentlich\* or privat\* or geheim\* or asymmetr\*) +2w schlüssel\* or ###schlüssel\* or (cryptograph\* or kryptograph\*) /2w signatur\* or (asymmetr\* or asymetr\*) /2w (encrypt\* or chiffr\* or verschlüssel\* or decrypt\* or déchiffr\* or tal\*) +2w signature\*)) or (elliptisch\* and \* and elliptique\* and ((cryptograph\* or chain\* or block\* +1w chain\* or chaîne\* +2w bloc\* or Blockkette\* or (distributed or shared or decentrali#ed) +2w ledger\* or register\* +2w (distribué\* or partagé or décentralisé\*) or (dezentral\* +2w geführte\* +2w Kontobuch\*) or dezentrale\* +2w Hauptbuch\* or (distributed +2w consensus +2w network\*) or DLT or DAG)

A callout box with a white background and a black border is positioned over the query. It contains the text: "WORD combines bibliographic data and full text: titles + abstracts + descriptions + claims".

At the bottom of the search interface, there is a toolbar with various symbols: "=", "<", "<=", ">", ">=", "[", "()", "\"", "#", "?", "★", and several icons for document management.

FYI, see EPO [glossary of terms](#) on blockchain technology with translated terms and CPC information – also in other technical areas

# Combine bibliographic data + full text

Query  12 505 doc. (7 487 families)   Family filter  

**(WORD** = (public\* or private\* or secret\* or asymmetr\* or decrypt\* or encrypt\*) +2w key\* or (clé\* or clef\*) +2w (publique\* or privé\* or secrète\* or asymetr\* or déchiffr\* or chiff\*) or (öffentlich\* or privat\* or geheim\* or asymmetr\*) +2w schlüssel\* or ###schlüsselungsschlüssel\* or (cryptograph\* or kryptograph\*) /2w signatur\* or (asymmetr\* or asymetr\*) /2w (encrypt\* or chiff\* or verschlüssel\* or decrypt\* or déchiffr\* or entschlüssel\*) or (elliptic\* and curve\* and ((cryptograph\* or digital\*) +2w signature\*)) or (elliptisch\* and kurve\* and ((kryptograph\* or digital\*) +2w signatur\*)) or (courbe\* and elliptique\* and ((cryptograph\* or signature\*) +2w numérique\*)) or ECDSA\*) **AND** (**WORD** = blockchain\* or block\* +1w chain\* or chaîne\* +2w bloc\* or Blockkette\* or (distributed or shared or decentrali#ed) +2w ledger\* or register\* +2w (distribué\* or partagé or décentralisé\*) or (dezentral\* +2w geführte\* +2w Kontobuch\*) or dezentrale\* +2w Hauptbuch\* or (distributed +2w consensus +2w network\*) or DLT or DAG)

= < <= > >= [] () " # ? ★    

Usual next step: query enrichment with classification information, but ...

# Run preliminary quality assessment searches

before combining terms with IPC/CPC in your query: how many documents **without** IPC/CPC?

⇒ Run quality assessment, i.e. **measure the risk** of not retrieving documents due to missing classification information

ID	Database	Result	Query	P...
\$74	GPI 2021/14	29	\$73 andnot (IPC or CPC = *)	(T...
\$73	GPI 2021/14	7 487	\$71 and \$72	(T...
\$72	GPI 2021/14	51 730	(WORD = blockchain* or bl...	TI...
\$71	GPI 2021/14	88 905	(WORD = (public* or private...	TI...
\$70	GPI 2021/14	7 487	(WORD = (public* or private...	(T...

Query \$73 (terms only): returns 7.487 families

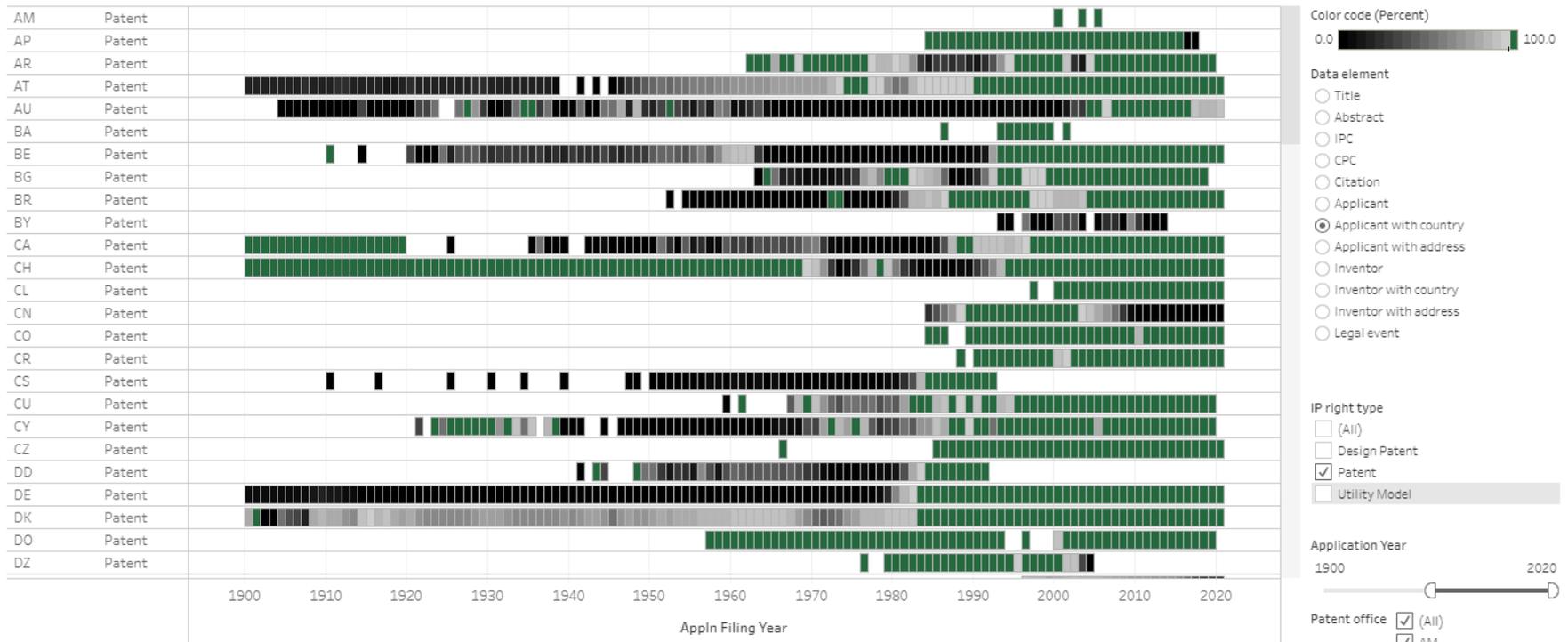
\$73 andnot (IPC or CPC = \*): returns 29 families

⇒ **risk = 0,4%**

**Note:** % sometimes much higher (e.g. for “Applicant country of residence”)

# Run preliminary quality assessment searches

FYI, [PATSTAT bibliographic data completeness](#) (Tableau Public):



# Visualise search result sets

Simple statistics to identify classification symbols most frequently assigned by patent examiners:

The screenshot displays the 'Simple statistics' interface. At the top, there are tabs for 'Configure / view statistics', 'Simple statistics', and 'Parameters'. Below the tabs is a table with columns for '#', 'CPC group', 'Documents', and 'Ranking (%)'. The table lists several CPC groups, with 'H04L9' selected. A callout box points to the 'Simple statistics' tab and the 'Copy' button. A text box explains that relevant symbols can be easily transferred to an initial query based on keywords only.

#	CPC group	Documents	Ranking (%)
1	H04L9	29 938	33.67
2	H04L63	24 164	27.52
3	H04L63/00	14 164	16.21
4	H04L2209	12 748	14.34
5	H04W12	10 132	11.40
6	G06Q20	8 471	9.53
7	H04L67	7 662	8.82

Selected terms: Copy Clear

Query (GPI 2021/14 - search result: 191 123 (families: 88 905))

(WORD = (public\* or private\* or secret\* or asymmet\* or decrypt\* or encrypt\*) +2w key\* or (clé\* or clef\*) +2w (publique\* or privé\* or secrète\* or asymetr\* or déchiff\* or chiff\*) or

# Visualise search result sets

Cross reference to identify co-assignments of classification symbols:



# Query enrichment with classification information

Query  10 264 doc. (5 858 families)   Family filter   

(**WORD** = (public\* or private\* or secret\* or asymmetr\* or decrypt\* or encrypt\*) +2w key\* or (clé\* or clef\*) or clé\* or secrète\* or asymetr\* or déchiffr\* or chiff\*) or (öffentlich\* or 20% families less asymmetr\*) +2w schlüssel\* or ###schlüsselungsschlüssel\* or (cryptograph\* or kryptograph\*) /2w signatur\* or (asymmetr\* or asymetr\*) /2w (encrypt\* or chiff\* or verschlüss and curve\* and ((cryptograph\* or digital\*) +2w signatur\* or (cryptograph\* or signature\*) +2w numérique\*)) or EC or chaîne\* +2w bloc\* or Blockkette\* or (distributed or shared or decentrali#ed) +2w ledger\* or register\* +2w (distribué\* or partagé or décentralisé\*) or (dezentral\* +2w geführte\* +2w Kontobuch\*) or dezentrale\* +2w Hauptbuch\* or (distributed +2w consensus +2w network\*) or DLT or DAG)

Possible next step for your search strategy :  
identify and use classification **subgroup** levels

**AND (IPC or CPC = H04L9 or G06F21 or CPC = H04L2209 or H04L63)**

# Combine bibliographic data + full text + legal events

**Highly relevant** when searching for applicants/proprietors:

**APP**: applicant/proprietor in bibliographic data, known at the time of publication

**EVOW**: owner mentioned in legal events, e.g. following a change of ownership



# Combine bibliographic data + full text + legal events

Event date	Event category	Event code	Event description	Applicant	Abstract (en)	Title (en)
2018.05.30	B - Application discontinuation	18R	APPLICATION REFUSED Effective date: 2017.12.15	ACCELLS TECHNOLOGIES 2009 LTD (IL)	[origin: WO2011083471A1] A transaction system for use in cooperation with a client mobile device, the transaction system constituted of: a provider associated device arranged to obtain an identifier associated with the client mobile device; and a transaction server in communication with the provider associated device. The provider associated device is arranged to output a transaction request message comprising information regarding the obtained identifier of the client mobile device. The transaction server, responsive to the transaction request message, is arranged to process the received transaction request message in accordance with one of a plurality of processing paths responsive to an attribute of the received transaction request message.	SYSTEM AND METHOD FOR PERFORMING A TRANSACTION RESPONSIVE TO A MOBILE DEVICE
2017.12.15	B - Application discontinuation	REG DE R003	REFUSAL DECISION NOW FINAL			
2016.02.24	D - Search and examination	17Q	FIRST EXAMINATION REPORT DESPACHED Effective date: 2016.01.22			
2015.07.22	R - Party data change	RAP1	RIGHTS OF AN APPLICATION TRANSFERRED Owner: PING IDENTITY CORPORATION			
2013.09.04	D - Search and examination	A4	SUPPLEMENTARY SEARCH REPORT DRAWN UP AND DESPACHED Effective date: 2013.08.07			

⇒ EP 2521992 A1 **not retrieved** with **APP** = "PING IDENTITY"  
Only retrieved with **APP** or **EVOW** = "PING IDENTITY"

# Combine bibliographic data + full text + legal events

Compare search results:

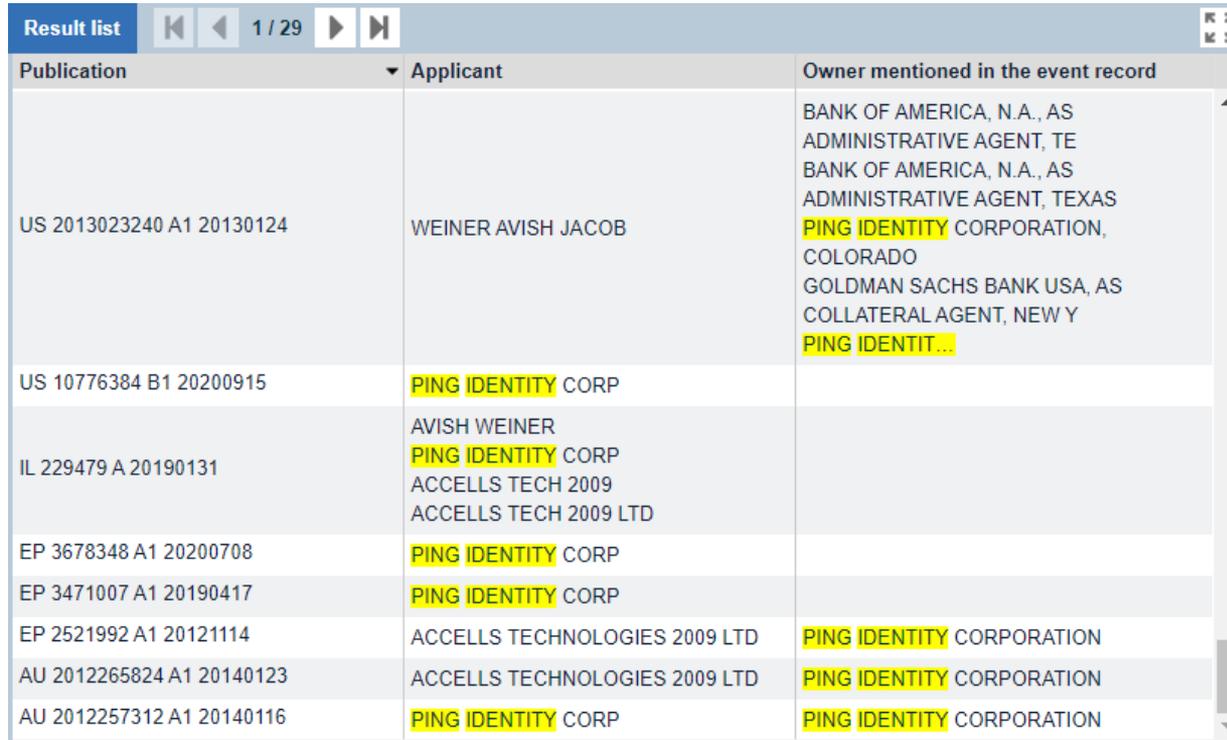
ID	Database	Result	Query	Parsed query
\$25	GPI 2021/15	29	\$23 or \$24	APPD_WORD ...
\$24	GPI 2021/15	27	EVOW = "PING IDENTITY"	EVOW_WORD...
\$23	GPI 2021/15	21	APP = "PING IDENTITY"	APPD_WORD ...

Owner Ping Identity, e.g. in the case of US assignments:

Event date	Event category	Event code	Event description
2012.08.02	R - Party data change	AS	ASSIGNMENT ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNORS:MADSEN, PAUL;CAMPBELL, BRIAN;SIGNING DATES FROM 20120525TO 20120620;REEL/FRA:028708/0216 Owner: <b>PING IDENTITY</b> CORPORATION, COLORADO

# Combine bibliographic data + full text + legal events

Applicant/proprietor and new owner available as result list columns:



The screenshot shows a table with three columns: 'Publication', 'Applicant', and 'Owner mentioned in the event record'. The table contains eight rows of patent data. The 'Applicant' and 'Owner mentioned in the event record' columns contain text with 'PING IDENTITY' highlighted in yellow. The table is part of a web interface, as indicated by the 'Result list' header and navigation icons.

Publication	Applicant	Owner mentioned in the event record
US 2013023240 A1 20130124	WEINER AVISH JACOB	BANK OF AMERICA, N.A., AS ADMINISTRATIVE AGENT, TE BANK OF AMERICA, N.A., AS ADMINISTRATIVE AGENT, TEXAS PING IDENTITY CORPORATION, COLORADO GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT, NEW Y PING IDENTIT...
US 10776384 B1 20200915	PING IDENTITY CORP	
IL 229479 A 20190131	AVISH WEINER PING IDENTITY CORP ACCELLS TECH 2009 ACCELLS TECH 2009 LTD	
EP 3678348 A1 20200708	PING IDENTITY CORP	
EP 3471007 A1 20190417	PING IDENTITY CORP	
EP 2521992 A1 20121114	ACCELLS TECHNOLOGIES 2009 LTD	PING IDENTITY CORPORATION
AU 2012265824 A1 20140123	ACCELLS TECHNOLOGIES 2009 LTD	PING IDENTITY CORPORATION
AU 2012257312 A1 20140116	PING IDENTITY CORP	PING IDENTITY CORPORATION

# Run regular monitoring searches

**Monitoring** with GPI means running a search at regular intervals (e.g. monthly or quarterly) to retrieve patent documents made available in GPI for the first time in the monitored time period.

**Use case:** e.g. monitoring of technical areas and/or competitors

**Scenario:** early April 2021 we monitor 2021 Q1, for our technical area of interest (query \$78)

**Means:** criterion **DFE** “Date of first exchange” = date a document is included in GPI for the first time:

**DFE** [202101, 202103] **AND** \$78

# Run regular monitoring searches

Search result set on 09.04.2021:

History				
ID	Database	Result	Query	Parse...
\$79	GPI 2021/14	757	DFE [202101, 202103] AND \$78	(DFE_...
\$78	GPI 2021/14	7 487	\$76 and \$77	(TIEN ...
\$77	GPI 2021/14	51 730	(WORD = blockchain* or block*...	TIEN ...
\$76	GPI 2021/14	88 905	(WORD = (public* or private* or...	TIEN ...

757 families where at least one family member has been made available in GPI for the first time in 2021 Q1

# Run regular monitoring searches

**Note:** “Added for the first time” in 2021 Q1 does not necessarily mean published in 2021 Q1 :



13% of our result set includes documents published **before** Q1 2021, provided by IPOs to the EPO in Q1 2021, and available in Espacenet/GPI also in Q1 2021

# Run regular monitoring searches

Search result list:

Publication	Publication date	Date of first exchange	Date of addition (DOCDB)	Is granted
CA 3105570 A1 20200130	20200130	20210204	20210129	NO
TW 202037112 A 20201001	20201001	20210121	20210112	NO
TW 202037109 A 2				NO
TW 202036344 A 2				NO
TW 202036402 A 2				NO
TW I706276 B 202				YES
TW I706661 B 202				YES
TW 202037115 A 2				NO
TW 202036351 A 2				NO
TW I707245 B 20201011	20201011	20210121	20210113	YES
TW I707244 B 20201011	20201011	20210121	20210113	YES

**1.** Regular deliveries from IPOs to the EPO **frequently** contain recent and less recent publications.

**2.** Publication dates **not recommend** for regular monitoring.

# Run regular monitoring searches

**Scenario #2** – monthly monitoring of companies:

- First monitoring run in January 2021 (monitoring of December 2020)
- Current monitoring run this month (monitoring of March)
- Removal of families already retrieved by a previous monitoring

**DFE** =202103 **ANDNOT** **FMDFE** [202012, 202102] **AND** **APP** **OR** **EVOW** = ...

**FMDFE**: first exchange of a family member

**ANDNOT** **FMDFE** eliminates families already retrieved during the monitoring run in January, February and March

# Run regular monitoring searches

**Scenario #3** – monitoring of licensing information published in March:

**EVD** = 202103 **AND** **EVCA** = **S** **AND** ...

**EVD**: legal event date (publication, e.g. in Bulletin or Gazette)

**EVCA**: event category **S** = “*Information on licensing and similar transactions*”. EPO categorised around 3.000 detailed legal events. Category **S** = 250 detailed legal events!

# Run regular monitoring searches

Document 5 / 1 762

Biblio. + Description + Claims + Drawings + Search report Legal events

EP 2228558 B1 20110126

Applicant  
TMD FRICTION SERVICES GMBH (DE)

Title (en)  
Brake lining for disc brakes

Title (de)  
Bremsbelag für Scheiben

Title (fr)  
Garniture de frein pour freins à disques

Publication  
EP 2228558 B1 20110126 (DE)

Application  
EP 10001879 A 20100224

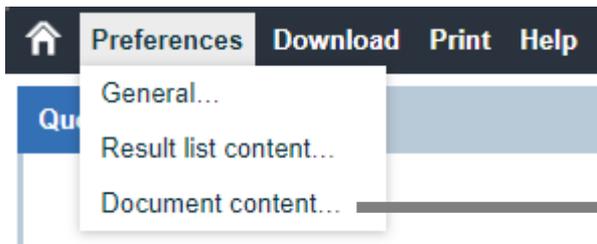
Event date	Event category	Event code	Event description
2021.03.10	S - Information on licensing and similar transactions	REG GB 746	REGISTER NOTED 'LICENCES OF RIGHT' (SECT. 46/1977) Effective date: 2021.02.16
2021.03.08	S - Information on licensing and similar transactions	REG DE R084	DECLARATION OF WILLINGNESS TO LICENCE Document: DE502010000004
2021.02.25	W - Other	REG ES GC2A	EXPLOITATION CERTIFICATE REGISTERED APPLICATION WITH SEARCH REPORT Effective date: 2021.02.19
2020.07.31	U - Payment	PGFP ES	ANNUAL FEE PAID TO NATIONAL OFFICE [ANNOUNCED FROM NATIONAL OFFICE TO EPO] Country: ES Payment date: 2020.03.22

Information from UK IPO

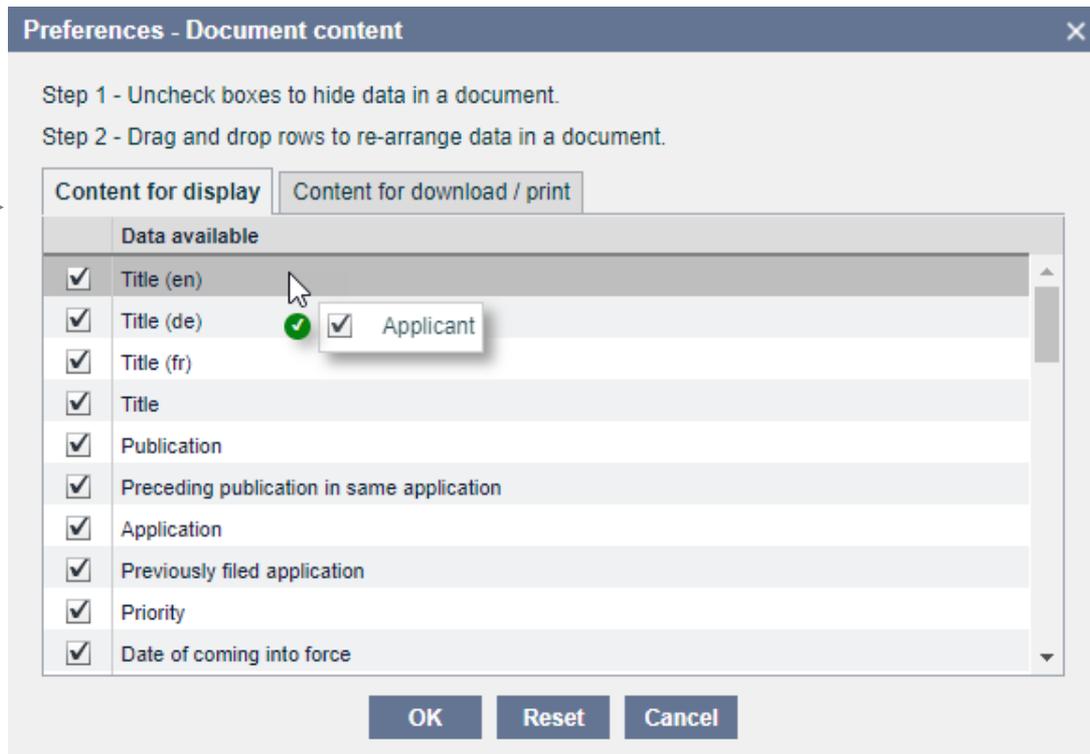
Information from DE IPO

Event categories = powerful entry means in the world of legal events

# Work effectively when browsing search result sets



Customise result list and document content to focus on relevant information





# Work effectively when browsing search result sets

The screenshot shows the EPO search interface with several annotations:

- Document navigation:** A red box highlights the document navigation controls (back, forward, 3 / 757) in the top left.
- Result list:** A red box highlights the result list controls (up arrow, 81, down arrow, refresh) in the top center.
- Document viewer:** A red box highlights the document viewer controls (maximize, close) in the top right.
- Browse result list:** A callout box points to the search results list on the left side of the screen.
- Navigate in search hits:** A callout box points to the search results list on the left side of the screen.
- Switch to mode document viewer:** A callout box points to the document viewer controls in the top right, indicating that the query and result list boxes are hidden.
- Open max. 4 document sections:** A callout box points to the document viewer controls in the top right, indicating that a maximum of 4 document sections can be opened.

The interface includes a menu bar (Preferences, Download, Print, Help), a search bar, and a result list. The search results are displayed in a list view, and the document viewer is shown in a split-screen mode.

# Visualise search result sets to prepare reports

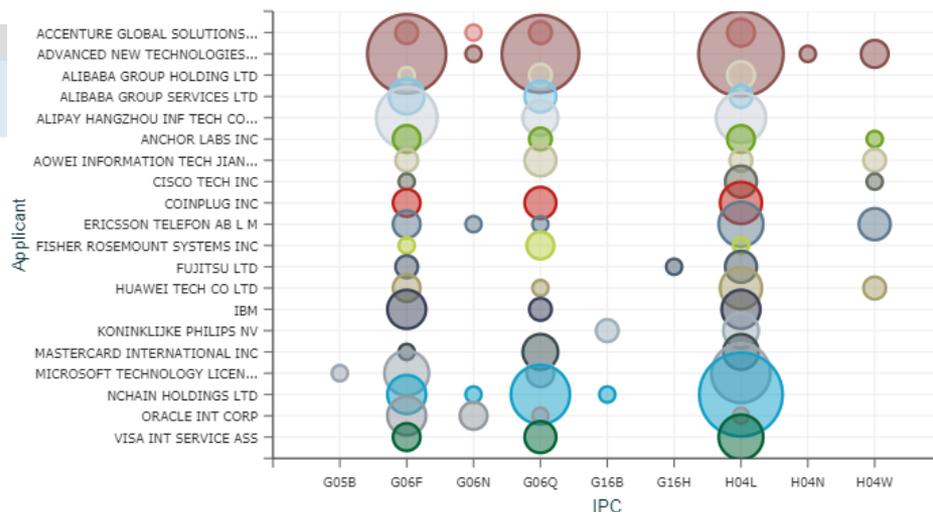
## Simple statistics

e.g. top 100 applicants

#	Applicant	Documents	Ranking (%)
1	<input type="checkbox"/> ADVANCED NEW TECHNOLOGIES CO LTD	55	7.27
2	<input type="checkbox"/> NCHAIN HOLDINGS LTD	37	4.89
3	<input type="checkbox"/> ALIPAY HANGZHOU INF TECH CO LTD	23	3.04
4	<input type="checkbox"/> MICROSOFT TECHNOLOGY LICENSING LLC	18	2.38
5	<input type="checkbox"/> ERICSSON TELEFON AB L M	11	1.45
6	<input type="checkbox"/> VISA INT SERVICE ASS	9	1.19
7	<input type="checkbox"/> SIEMENS AG	9	1.19
8	<input type="checkbox"/> HUAWEI TECH CO LTD	9	1.19
9	<input type="checkbox"/> SKYGRID LLC	8	1.06
10	<input type="checkbox"/> IBM	8	1.06

## Cross reference

e.g. top 20 applicants \* top 20 IPC



Not enough statistics parameters? ⇒ download customised result lists

# Download of search result lists for post-processing

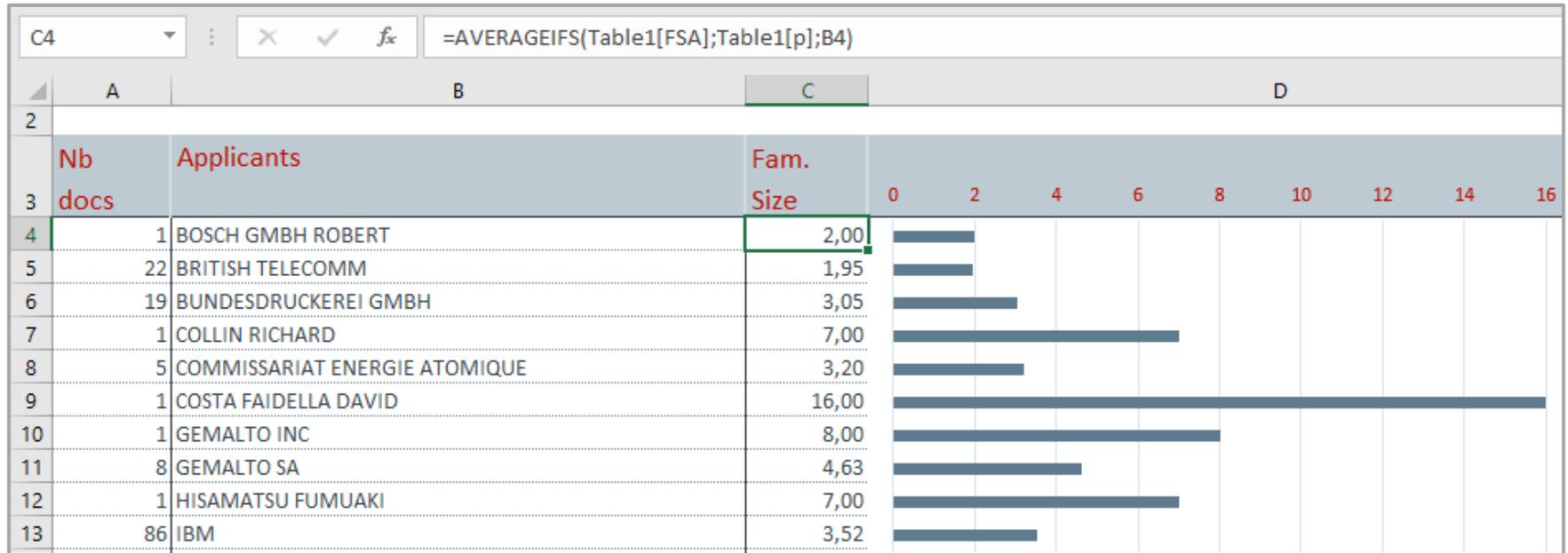
**XSLX** or **CSV** file:

Publication	Applicant	Family size (applications)	CPC (invention information)
<a href="#">WO 2019001923 A1 20190103</a>	SIEMENS AG		3 H04L 9/0891 (2013.01) H04L 9/3236 (2013.01) H04L 9/3239 (2013.01) H04L 9/3247 (2013.01)
<a href="#">WO 2018167253 A1 20180920</a>	BUNDESDRUCKEREI GMBH		3 H04L 9/3236 (2013.01) H04L 9/3247 (2013.01)
<a href="#">DE 102017204536 B3 20180308</a>	BUNDESDRUCKEREI GMBH		4 G06F 21/645 (2013.01) G06Q 30/018 (2013.01) G06Q 50/18 (2013.01) H04L 9/0825 (2013.01) H04L 9/30 (2013.01)

- Prepare information annexed to your search report
- Create your own statistics

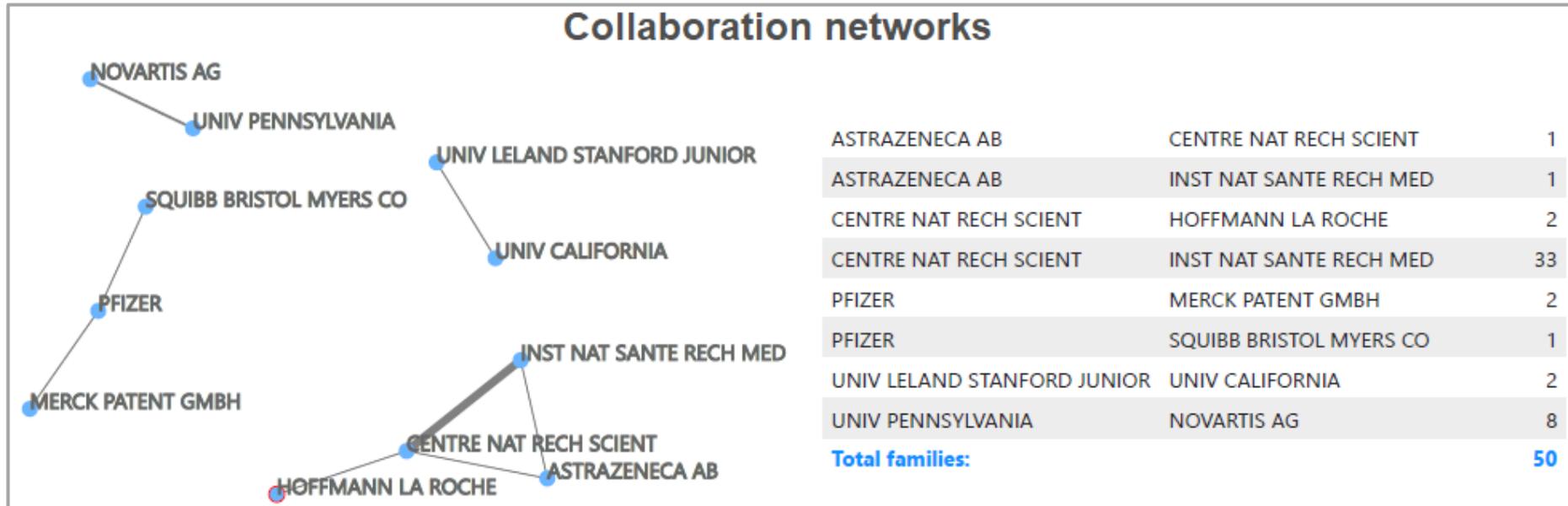
# Download of search result lists for post-processing

XML file – e.g. loaded/modified with Excel:



# Download of search result lists for post-processing

XML file – e.g. loaded/modified 1<sup>st</sup> with Excel and 2<sup>nd</sup> with Power BI:



# With GPI, can I ...

**1. Search all granted patents in one go?**  yes  no

Using criterion ISG “Is granted”: e.g. **ISG** = YES

**2. Easily combine queries of my search history?**  yes  no

Using query IDs: e.g.

**\$1 andnot \$2**

**\$3 and IPC or CPC = ...**

**3. Easily spot top companies in a given tech. area?**  yes  no

Using **Simple statistics** with the parameter **Applicant**

## With GPI, can I ...

**4. Assess freedom to operate in the US market?**  yes  no

Current full text coverage: DE EP ES FR GB WO

**5. Use publication dates for regular monitoring?**  yes  no

But not recommended: data deliveries from IPOs to the  
EPO sometimes cumulate frontfile with backfile data

Use **DFE/FMDFE** instead of **PUD**

**6. Use **APP** to spot changes of ownership?**  yes  no

A change of ownership is a legal information – use **EVOW**,  
combined or not with **APP** – e.g. **APP** or **EVOW** = ...

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**Thank you for your attention**

[epo.org/gpi](http://epo.org/gpi)

Getting started

Conditions

Related products

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📄 **GPI – pocket guide** (PDF, 728 KB)

📄 **GPI user manual** (PDF, 3.7 MB)

› **GPI - Introductory Video**

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